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J0678 U.S. PRO

EXPRESS MAIL RECEIPT NO. ET897767404US
DEPOSITED ON DECEMBER 21, 2001

PATENT
DKT. P1572US01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231

J1011 S N 1101
10/032176
12/21/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor: Michael W. Pfeiffer

For (title): COMPLIANCE MECHANISM FOR MANIPULATING A CONTROL
OBJECT

1. Type of Application

This transmittal is for an original (nonprovisional) application.

2. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153
(Design) Application

10 Pages of Specification
5 Pages of Claims
7 Sheets of Drawings--Informal

B. Other Papers Enclosed

2 Pages of Declaration--Unsigned
1 Page of Abstract
3 Pages of Application Data Sheet

3. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. 1.98), including PTO/SB/08A and 08B
(Substitute to Form PTO-1449) and 8 cited patent references.
An acknowledgment postcard

10032476-122101

4. **Declaration or Oath**

Enclosed–Unsigned

5. **Inventorship Statement**

The inventorship for all the claims in this application is the same.

6. **Language**

English

7. **Assignment**

An assignment of the invention to SEAGATE TECHNOLOGY LLC will follow.

8. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
<hr/>					
Total Claims (37 CFR 1.16(c))	20	- 20 =	0 x	\$18.00	\$0.00
<hr/>					
Independent Claims (37 CFR 1.16(b))	2	- 3 =	0 x	\$84.00	\$0.00
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Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$280.00	\$0.00
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Filing Fee Calculation					\$740.00

9. **Fee Payment Being Made at This Time**

Enclosed	
Filing Fee	\$740.00
Total Fees Enclosed	\$740.00

10. **Method of Payment of Fees**

Check in the amount of \$740.00 is attached.

11. **Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 13-0110.

- 37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)
- 37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)
- 37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- 37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to SECTION 1.136(a))

12. **Instructions as to Overpayment**

Refund.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF
PRIOR U.S. APPLICATION CLAIMED**

13. **Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

A. **35 U.S.C. Section 119(e)**

"This application claims the benefit of U.S. Provisional Application No.:

APPLICATION NO.

FILING DATE

60/316,065

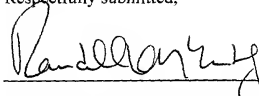
08/30/2001

14. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor in this application is the same.

Date: 12/21/01

Respectfully submitted,



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